

# Which Digraphs Are Round?

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## Abstract

A digraph  $D$  is *round* if the vertices of  $D$  can be circularly ordered as  $v_1, v_2, \dots, v_n$  so that, for each vertex  $v_i$ , the out-neighbours of  $v_i$  appear consecutively following  $v_i$  and the in-neighbours of  $v_i$  appear consecutively preceding  $v_i$  in the ordering. We characterize round digraphs in terms of forbidden substructures. Our proof implies a polynomial algorithm to decide if a digraph is round.

## 1 The theorem

We assume that a digraph has no loops or multiple arcs but may contain a cycle of length 2. If it contains no cycle of length 2, then it is an *oriented graph*.

Let  $D$  be a digraph. We say that a vertex  $x$  is *adjacent* to a vertex  $y$  in  $D$  if there is at least one arc between  $x$  and  $y$ . If  $xy$  is an arc of  $D$ , then we say that  $x$  *dominates*  $y$  and use the notation  $x \rightarrow y$  to denote this. If  $x \rightarrow y$ , then  $y$  is an *out-neighbour* of  $x$  and  $x$  is an *in-neighbour* of  $y$ . The set  $O(x)$  of all out-neighbours of  $x$  is called the *outset* of  $x$  and the set  $I(x)$  of all in-neighbour of  $x$  is called the *inset* of  $x$ . We shall let  $d^+(x) = |O(x)|$  and  $d^-(x) = |I(x)|$  and call  $d^+(x)$  (resp.  $d^-(x)$ ) the *outdegree* (resp. the *indegree*) of  $x$ .

A digraph  $D$  is *round* if the vertices of  $D$  can be circularly ordered as  $v_1, v_2, \dots, v_n$  so that, for each vertex  $v_i$ , the out-neighbours of  $v_i$  appear consecutively following  $v_i$  and the in-neighbours of  $v_i$  appear consecutively preceding  $v_i$  in the ordering. We shall refer to the ordering  $v_1, v_2, \dots, v_n$  as a *round enumeration* of  $D$ .

A digraph is *semicomplete* if there is at least one arc between any pair of vertices. A *tournament* is thus a semicomplete oriented graph. A digraph is called *locally semicomplete* if the outset as well as the inset of each vertex induces a semicomplete digraph, [1]. A locally semicomplete oriented graph is called a *local tournament*, [4].

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Suppose that  $D$  is a round digraph and that  $v_1, v_2, \dots, v_n$  a round enumeration of  $D$ . We claim that  $D$  is a locally semicomplete digraph. To see this, consider an arbitrary vertex, say  $v_i$ . Let  $x$  and  $y$  be two out-neighbours of  $v_i$ . Assume without loss of generality that  $v_i, x, y$  appear in the circular order in the round enumeration. Since  $v_i \rightarrow y$  and the in-neighbours of  $y$  appear consecutively preceding  $y$ , we must have  $x \rightarrow y$ . Thus the out-neighbours of  $v_i$  are pairwise adjacent. Similarly, we can show that the in-neighbours of  $v_i$  are also pairwise adjacent. Hence  $D$  is a locally semicomplete digraph. In the case when  $D$  is a round oriented graph,  $D$  is a local tournament.

There is an intimate relation between locally semicomplete digraphs and circular arc graphs. A graph  $G$  is a *circular arc graph* if there is a one-to-one correspondence between the vertex set of  $G$  and a family of circular arcs on a circle so that two vertices are adjacent in  $G$  if and only if the corresponding circular arcs intersect. A circular arc graph is *proper* if the family can be chosen so that no arc contains any other arc. It is proved [5] that a connected graph can be oriented as a local tournament if and only if it is a proper circular arc graph. Round local tournaments are particularly useful in finding a corresponding circular arc family and in designing efficient algorithms to solve problems related to proper circular arc graphs, cf. [2, 3].

If  $x \rightarrow y$  but  $y \not\rightarrow x$ , then the arc  $xy$  is called a *simple* arc. A path (resp. cycle) consisting of simple arcs is called a *simple* path (resp. cycle). For a vertex  $x$  of  $D$ , let  $B(x) = O(x) \cap I(x)$ ,  $O'(x) = O(x) - B(x)$ , and  $I'(x) = I(x) - B(x)$ . A digraph is *connected* if its underlying graph is connected.

Bang-Jensen [1] showed that a connected local tournament  $D$  is round if and only if for each vertex  $x$ ,  $O(x)$  and  $I(x)$  induce transitive tournaments, i.e., tournaments which contain no cycles. The main theorem of this paper is a generalization of this result.

**Theorem 1.1** *Let  $D$  be a connected locally semicompleted digraph. Then  $D$  is round if and only if for each vertex  $x$ ,  $O'(x)$  and  $I'(x)$  induce transitive tournaments and  $B(x)$  induces a (semicomplete) subdigraph containing no simple cycles.*

## 2 The proof

Let  $D$  be a round digraph and let  $v_1, v_2, \dots, v_n$  be a round enumeration of  $D$ . For each vertex  $v_i$ , the definition of a round enumeration implies that the vertices in  $I'(v_i)$  appear consecutively preceding  $v_i$  and the vertices in  $O'(v_i)$  appear consecutively following  $v_i$ . Thus the vertices in  $B(v_i)$  also appear consecutively between vertices of  $I'(v_i)$  and the vertices of  $O'(v_i)$ . So, when  $B(v_i) \neq \emptyset$ , if we traverse beginning at  $v_i$  in the circular order of the round enumeration, we encounter first the vertices in  $O'(v_i)$ , then the vertices in  $B(v_i)$ , and finally the vertices in  $I'(v_i)$ . In this section, we shall prove Theorem 1.1. But first we have some lemmas.

**Lemma 2.1** *Let  $D$  be a digraph and let  $D'$  be a induced subdigraph of  $D$ . If  $D$  is round, then  $D'$  is round.*

**Proof:** Let  $v_1, v_2, \dots, v_n$  be a round enumeration of  $D$ . Suppose that  $v_{j_1}, v_{j_2}, \dots, v_{j_k}$  ( $j_1 < j_2 < \dots < j_k$ ) are the vertices of  $D'$ . Then  $v_{j_1}, v_{j_2}, \dots, v_{j_k}$  is a round enumeration of  $D'$ .  $\square$

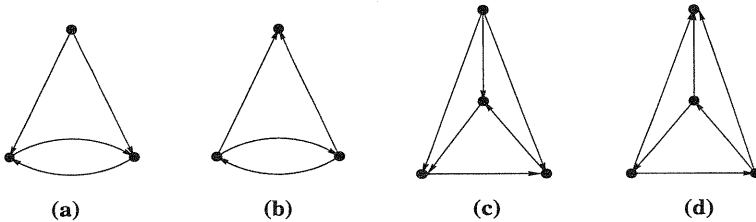


Figure 1: Some forbidden substructures for round digraphs.

**Lemma 2.2** *If  $D$  is a round digraph, then none of the digraphs in Fig. 1 is an induced subdigraph of  $D$ .*

**Proof:** The statement follows from Lemma 2.1 and the fact that none of digraphs in Fig. 1 is round.  $\square$

**Lemma 2.3** *Let  $D$  be a round digraph. Then, for each vertex  $x$  of  $D$ , the subdigraphs induced by  $I'(x)$  and  $O'(x)$  contain no cycle.*

**Proof:** The statement follows from Lemma 2.2 and the fact that if the subdigraph induced by  $I'(x)$  or  $O'(x)$  contains a cycle then  $D$  would contain one of the digraphs in Fig. 1 as an induced subdigraph.  $\square$

**Lemma 2.4** *Let  $D$  be a round digraph. Then, for each vertex  $x$  of  $D$ , the subdigraph induced by  $B(x)$  contains no simple cycle.*

**Proof:** Suppose the subdigraph induced by some  $B(x)$  contains a simple cycle  $C$ . Let  $v_1, v_2, \dots, v_n$  be a round enumeration of  $D$ . Without loss of generality, assume that  $x = v_1$ . Then the simple cycle  $C$  must contain a simple arc  $v_a v_b$  with  $a > b$ . Now  $v_1 \in I(v_a)$  but  $v_b \notin I(v_a)$ , contradicting the assumption that  $v_1, v_2, \dots, v_n$  is a round enumeration of  $D$ .  $\square$

### Proof of Theorem 1.1:

The necessity follows from lemmas 2.3 and 2.4. For sufficiency, we first consider the case when  $D$  contains a simple cycle. We claim that  $O'(x) \neq \emptyset$  for each vertex  $x$  of  $D$ . To prove this, it suffices to show that there is a simple cycle containing all vertices of  $D$ . Let  $C : x_1 \rightarrow x_2 \rightarrow \dots \rightarrow x_l \rightarrow x_1$  be a longest simple cycle in  $D$ . Suppose that  $C$  does not contain all vertices of  $D$ . Then there is a vertex  $v$  which is not in  $C$  and  $v$  is adjacent to some vertex of  $C$ .

Assume that there is a simple arc between  $v$  and some vertex, say  $x_1$ , of  $C$ . Assume further that the simple arc is from  $x_1$  to  $v$ . (A similar discussion applies if the simple arc is from  $v$  to  $x_1$ .) Thus  $v$  and  $x_2$  are in  $O'(x_1)$  and hence  $v$  and  $x_2$

are adjacent. The arc between  $v$  and  $x_2$  must be simple as  $D$  contains no Fig. 1(a). However the choice of  $x_2$  implies that  $v \in O'(x_2)$ . Now both  $v$  and  $x_3$  are in  $O'(x_2)$ , implying that  $v$  and  $x_3$  are adjacent by a simple arc. Again we must have  $v \in O'(x_3)$ . Continuing this way, we see that  $v$  is in  $O'(x_i)$  for each  $i = 1, 2, \dots, l$ . Hence  $I'(v)$  contains all vertices of  $C$ , which contradicts the assumption that  $I'(v)$  induces a transitive tournament. So we may assume that  $x_1$  is in  $B(v)$  and further that there is no simple arc between  $v$  and  $C$ . Vertices  $v$  and  $x_2$  are adjacent because both are out-neighbours of  $x_1$ . Thus  $x_2 \in B(v)$ . Continuing this way, we see that  $B(v)$  contains all vertices of  $C$ , contradicting the assumption that the subdigraph induced by  $B(v)$  contains no simple cycle. Therefore the cycle  $C$  contains all vertices of  $D$ , which implies that  $O'(x) \neq \emptyset$  for each vertex  $x$  of  $D$ .

We apply the following algorithm to find a round enumeration of  $D$ : Begin with an arbitrary vertex, say  $y_1$ , and, for each  $i = 1, 2, \dots$ , let  $y_{i+1}$  be the vertex of indegree 0 in the (transitive) tournament induced by  $O'(y_i)$ . Let  $y_1, y_2, \dots, y_r$  be distinct vertices produced by the algorithm such that the vertex  $w$  of indegree 0 in the tournament induced by  $O'(y_r)$  is in  $\{y_1, y_2, \dots, y_{r-2}\}$ . We first show that  $w = y_1$ . If  $w = y_j$  with  $j > 1$ , then  $y_{j-1}$  and  $y_r$  are both in  $I'(y_j)$  and hence adjacent by a simple arc. But either  $y_r \in O'(y_{j-1})$  or  $y_r \in I'(y_{j-1})$  would contradict the fact that  $y_j$  is the vertex of indegree 0 in the (transitive) tournaments induced by  $O'(y_{j-1})$  and  $O'(y_r)$ . So  $w = y_1$  and  $C' : y_1 \rightarrow y_2 \rightarrow \dots \rightarrow y_r \rightarrow y_1$  is a simple cycle. We next show that  $r = |V(D)|$ . Suppose not. Then there is a vertex  $u$  which is not in  $C'$  and is adjacent to some  $y_i$  of  $C'$ .

Suppose that  $u \in O'(y_i)$ . Then  $u$  and  $y_{i+1}$  are adjacent as both are in  $O'(y_i)$ . Since  $D$  contains no Fig. 1(a) and  $y_{i+1}$  is the vertex of indegree 0 in the subdigraph induced by  $O'(y_i)$ , we must have  $u \in O'(y_{i+1})$ . Now  $u$  and  $y_{i+2}$  are adjacent. Similarly, we must have  $u \in O'(y_{i+2})$ . Continuing this way, we see that  $u \in O'(y_k)$  for each  $k = 1, 2, \dots, r$ . That is,  $C'$  is contained in the subdigraph induced by  $I'(u)$ , a contradiction. A similar argument applies for the case when  $u \in I'(y_i)$ . So we may assume  $u \in B(y_i)$  and there is no simple arc between  $u$  and  $C'$ . Using this assumption and the definition of a locally semicomplete digraph, we can show that  $C'$  is contained in the subdigraph induced by  $B(u)$ , which is again a contradiction. Therefore  $r = |V(D)|$ , i.e., the algorithm enumerates all vertices of  $D$ .

We now complete our claim by showing that  $y_1, y_2, \dots, y_r$  is a round enumeration. Suppose not. Then there are three vertices  $y_a, y_b, y_c$  listed in the circular order in the enumeration such that one of the following two cases occurs:

1.  $y_c \in O(y_a)$  and  $y_b \notin O(y_a)$ ;
2.  $y_b \in I(y_a)$  and  $y_c \notin I(y_a)$ .

Assume that case 1 occurs. Assume that the three vertices were chosen so that the number of vertices from  $y_b$  to  $y_c$  in the circular order is as small as possible. This implies that  $c = b + 1$ , i.e.,  $y_c$  is next to  $y_b$  in the circular order. Now  $y_a$  and  $y_b$  are adjacent as both are in  $I(y_c)$ . Thus  $y_a \in O'(y_b)$ . Since we also have  $y_c \in O'(y_b)$

and  $D$  contains no Fig. 1(a),  $y_c \in O'(y_a)$ . So  $y_c$  is not the vertex of indegree 0 in the (transitive) tournament induced by  $O'(y_{c-1})$ , contradicting the choice of  $y_c$ . A similar argument applies when case 2 occurs.

It remains to consider the case when  $D$  contains no simple cycle. If  $D$  contains no simple arcs, then it is easy to see that  $D$  is semicomplete. This means that there is a cycle of length two between any pair of vertices. Thus any vertex ordering is a round enumeration of  $D$ . So assume that  $D$  has at least one simple arc. Let  $z_1$  be a vertex with  $I'(z_1) = \emptyset$  and  $O'(z_1) \neq \emptyset$ . Such a vertex exists because  $D$  contains a simple arc but no simple cycle. We apply the following algorithm to find a path in  $D$ : begin with  $z_1$  and, for each  $i = 1, 2, \dots$ , let  $z_{i+1}$  be the vertex of indegree 0 in the (transitive) tournament induced by  $O'(z_i)$  unless  $O'(z_i) = \emptyset$ . Clearly, this produces a path  $P : z_1 \rightarrow z_2 \rightarrow \dots \rightarrow z_s$  with  $O'(z_s) = \emptyset$ .

Using a similar argument as above, we can show that  $z_1, z_2, \dots, z_s$  is a round enumeration of the subdigraph induced by  $V(P)$ . Thus if  $P$  contains all vertices of  $D$  then  $z_1, z_2, \dots, z_s$  is a round enumeration of  $D$ . So assume that there is a vertex  $v$  which is not in  $P$  and is adjacent to some vertex of  $P$ . It is easy to see that there is no simple arc between  $v$  and  $P$ . This implies that  $v \in B(z_i)$  each  $i = 1, 2, \dots, s$ . In fact, it is not hard to see this is so for each vertex  $v \in V(D) - V(P)$ .

Therefore if we repeat the above algorithm for  $D - P$  we can find another path consisting of simple arcs (if any). We can continue this process in the remaining digraph until no simple arc left. Let  $P_k : z_1^k \rightarrow z_2^k \rightarrow \dots \rightarrow z_{j_k}^k$ ,  $k = 1, 2, \dots$ , be the paths produced by the algorithm. Let  $z_1^0, z_2^0, \dots, z_{j_0}^0$  be the remaining vertices. Then it is easy to verify that

$$z_1^1, z_2^1, \dots, z_{j_1}^1, z_1^2, z_2^2, \dots, z_{j_2}^2, \dots, z_1^0, z_2^0, \dots, z_{j_0}^0$$

is a round enumeration of  $D$ . This completes the proof. □

It is not difficult to see that the above proof implies a polynomial algorithm to decide if a digraph is round and to find a round enumeration of it if one exists.

**Corollary 2.5** *There is a polynomial algorithm to decide if a digraph is round and to find a round enumeration of it if one exists.* □

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