

# Convex invariants in multipartite tournaments

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## Abstract

In the study of convexity spaces, the most common convex invariants are based on notions of independence with respect to taking convex hulls. In [D.B. Parker, R.F. Westhoff and M.J. Wolf, *Discuss. Math. Graph Theory* 29 (2009), 51–69],  $H$ -independence,  $R$ -independence and convex independence were studied to prove results about the Helly number, Radon number and rank of a clone-free multipartite tournament under 2-path convexity. In this paper, we extend many of these results to general multipartite tournaments. In particular, we determine conditions under which a convexly independent set of vertices is  $R$ - and/or  $H$ -independent. We also investigate conditions under which an  $R$ -independent set is  $H$ -independent.

## 1 Introduction

Convexity in graphs and digraphs has been investigated in many different contexts. In each case, the convex sets are defined in terms of a particular type of path. Let  $T$  be a graph or digraph and let  $\mathcal{P}$  be a set of paths in  $T$ . A subset  $C \subseteq V(T)$  is  $\mathcal{P}$ -convex if, whenever  $v, w \in C$ , any path in  $\mathcal{P}$  that originates at  $v$  and ends at  $w$  can involve only vertices in  $C$ . The most commonly studied type of convexity is *geodesic convexity*, where  $\mathcal{P}$  is taken to be the set of geodesics in  $T$  (see [3], [4], [7]

and [9]). Another type of convexity is *induced path convexity*, where  $\mathcal{P}$  is the set of all chordless paths (see [5]). Other types of convexity include *path convexity* (see [12] and [17]), and *triangle path convexity* (see [2]). In this paper we consider *two-path convexity* where  $\mathcal{P}$  is taken to be the set of all 2-paths in a digraph  $T$ . Two-path convexity was first studied in tournaments in [6], [11], and [20]. More recent results in multipartite tournaments are studied in [1], [13], [14], [15], [16].

Several numerical invariants can be associated with a convex structure. Two of the most studied are the Helly and Radon numbers (see [2], [10], and [18]). These can each be defined using notions of independence (see [19, Chap. 3]). For a subset  $S \subseteq V(T)$ , the *convex hull* of  $S$ , denoted  $C(S)$ , is defined to be the smallest convex subset containing  $S$ . Let  $F \subseteq V(T)$ . We say  $F$  is *H-independent* if  $\bigcap_{p \in F} C(F - \{p\}) = \emptyset$ . The *Helly number*  $h(T)$  is the size of a largest  $H$ -independent set. A partition  $F = A \cup B$  with  $C(A) \cap C(B) \neq \emptyset$  is called a *Radon partition* of  $F$ , and  $F$  is *R-independent* if  $F$  does not have a Radon partition. The *Radon number*  $r(T)$  is the size of a largest  $R$ -independent set. Note that some authors define the Radon number to be the smallest number  $r$  such that every subset of size  $r$  has a Radon partition. This results in a Radon number one larger than the definition we use. It is well known that if  $F$  is  $H$ -independent then  $F$  is  $R$ -independent (see [19, p. 163]). This implies Levi's inequality, which is  $h(T) \leq r(T)$ .

One final type of independence we will consider is convex independence. We say  $F$  is *convexly independent* if, for each  $p \in F$ , we have  $p \notin C(F - \{p\})$ . The *rank*  $d(T)$  is the size of a largest convexly independent set. Rank provides an upper bound on the number of elements of a convex set that are needed to generate the convex set using convex hulls. In [8], D. Haglin and M. Wolf used the fact that the collection of two-path convex subsets in a tournament has rank 2 to construct an algorithm for computing the convex subsets of a given tournament. Note that any set that is  $H$ - or  $R$ -independent must also be convexly independent, so rank is an upper bound for both the Helly and Radon numbers.

We call  $v, w \in V(T)$  *clones* if they have the same inset and outset, and we say  $T$  is *clone-free* if  $V(T)$  has no clones. Given  $v \in V(T)$ , we define  $[v] = \{w \in V(T) : w \text{ and } v \text{ are clones}\}$ . In [15], we studied convex independence in clone-free multipartite tournaments and applied our results to Helly and Radon numbers in this context. In this paper, we seek to generalize these results to general multipartite tournaments.

For  $T$  a digraph, we denote an arc  $(v, w)$  by  $v \rightarrow w$  and say that  $v$  dominates  $w$ . If  $U, W \subseteq V(T)$ , then we write  $U \rightarrow W$  to indicate that every vertex in  $U$  dominates every vertex in  $W$ . We call  $T$  a *multipartite tournament* if it is possible to partition  $V$  into partite sets  $P_1, P_2, \dots, P_k$ ,  $k \geq 2$  such that there is precisely one arc between each pair of vertices in different partite sets and no arcs between vertices in the same partite set. In the case when  $k = 2$  we will also call  $T$  a *bipartite tournament*. If  $A, B \subseteq V(T)$ , we denote the convex hull of  $A \cup B$  by  $A \vee B$ . If  $v, w \in V$ , we drop the set notation and write  $\{v\} \vee \{w\}$  as  $v \vee w$ . Finally, we denote by  $T^*$  the digraph with the same vertex set as  $T$ , and where  $(v, w)$  is an arc of  $T^*$  if and only if  $(w, v)$  is an arc of  $T$ .

## 2 Convex Independence in Multipartite Tournaments

In [14] and [15], we studied properties of convexly independent sets under two-path convexity in multipartite tournaments. In this section, we present some notational conventions, definitions, and results that will be used throughout this paper.

The following is from [14]. Let  $T$  be a multipartite tournament, and let  $U \subseteq V(T)$  be a convexly independent set. Then  $U$  can have a nonempty intersection with at most two partite sets. Thus,  $T$  has partite sets  $P_0$  and  $P_1$  such that  $A = U \cap P_0$  and  $B = U \cap P_1$  with  $U = A \cup B$ . It follows that  $A \rightarrow B$  or  $B \rightarrow A$ . Note that  $T$  and  $T^*$  have the same convex subsets, so by relabelling  $P_0$  and  $P_1$  and reversing the arcs, if necessary, we can assume that  $|A| \geq |B|$  and  $A \rightarrow B$  if  $B \neq \emptyset$ .

Given  $C \subseteq V(T)$ , we define the following, which generalizes the analogous definition for clone-free multipartite tournaments in [14].

$$D_C^{\rightarrow} = \{z \in V(T) : z \rightarrow x \text{ for some } x \in C, y \rightarrow z \text{ for all } y \in C - [x]\}$$

$$D_C^{\leftarrow} = \{z \in V(T) : x \rightarrow z \text{ for some } x \in C, z \rightarrow y \text{ for all } y \in C - [x]\}$$

The following appears in [13].

**Theorem 2.1.** *Let  $T$  be a clone-free multipartite tournament. Let  $A$  and  $B$  form a convexly independent set, with  $A \rightarrow B$  when both sets are nonempty.*

1. *If  $A = \{x_1, \dots, x_m\}$ ,  $m \geq 2$ , then one can order the vertices in  $A$  such that there exist  $u_2, \dots, u_m \in D_A^{\rightarrow}$  (resp., in  $D_A^{\leftarrow}$  if  $D_A^{\rightarrow} = \emptyset$ ) such that  $u_i \rightarrow x_i$  (resp.,  $x_i \rightarrow u_i$ ).*
2. *If  $|A| \geq 3$ , then  $D_A^{\rightarrow} \neq \emptyset$  if and only if  $D_A^{\leftarrow} = \emptyset$ , and  $D_A^{\rightarrow}$  and  $D_A^{\leftarrow}$  each lie in at most one partite set.*
3. *Suppose  $A, B \neq \emptyset$ . If  $|A| \geq 2$ , then  $D_A^{\rightarrow}$  is in the same partite set as  $B$ , and if  $|B| \geq 2$ , then  $D_B^{\leftarrow}$  is in the same partite set as  $A$ .*
4. *If  $|A|, |B| \geq 2$ , then  $D_B^{\leftarrow} \rightarrow D_A^{\rightarrow}$ .*
5. *Any vertex that distinguishes vertices in  $A$  must be in either  $D_A^{\rightarrow}$  or  $D_A^{\leftarrow}$  and any vertex that distinguishes vertices in  $B$  must be in  $D_B^{\leftarrow}$  or  $D_B^{\rightarrow}$ . If  $A, B \neq \emptyset$  or if  $|A| \geq 3$ , then any vertex that distinguishes vertices in  $A$  must be in  $D_A^{\rightarrow}$  and any vertex that distinguishes vertices in  $B$  must be in  $D_B^{\leftarrow}$ .*

When  $|A| \geq 2$ , Theorem 2.1(1) implies that one of  $D_A^{\rightarrow}$  or  $D_A^{\leftarrow}$  is nonempty, and when  $|A| \geq 3$ , Theorem 2.1(2) implies that the other is empty. In the case of  $B = \emptyset$ , we choose  $T$  or  $T^*$  so that  $D_A^{\rightarrow} \neq \emptyset$  and let  $P_1$  be the partite set containing  $D_A^{\rightarrow}$ . We will assume that this and the above notational conventions and choices have been made throughout the remainder of the paper.

Let  $U = A \cup B$  be a convexly independent set. As noted in [15],  $C(U)$  can be constructed in two ways. The most natural method is using the sets  $C_k(U)$ , defined as follows. Let  $C_0(U) = U$  and for  $k \geq 1$ , let

$$C_k(U) = C_{k-1}(U) \cup \{w \in V(T) : x \rightarrow w \rightarrow y \text{ for some } x, y \in C_{k-1}(U)\}$$

Then  $C(U) = \bigcup_{k=0}^{\infty} C_k(U)$ . Another way to generate convex hulls is to define  $\Delta_k(U)$  as follows. Let  $\Delta_0(U) = A$ ,  $\Delta_1(U) = B \cup C_1(A)$ , and for  $t \geq 2$ , let  $\Delta_t(U) = C_1(\Delta_{t-1}(U))$ . As before,  $C(U) = \bigcup_{i=0}^{\infty} \Delta_i(U)$ .

The following generalizes the definition of  $D_A^{\rightarrow}$  and  $D_B^{\leftarrow}$ .

**Definition 2.2.** Let  $U = A \cup B$  be a convexly independent set with  $A \rightarrow B$ . For each  $x \in U$ , define  $\overline{D}_t(x)$  for  $t \geq 0$  as follows. If  $x \in A$ , then  $\overline{D}_0(x) = \{x\}$ , and if  $x \in B$ , then  $\overline{D}_0(x) = \emptyset$  and  $\overline{D}_1(x) = \{x\}$ . Otherwise, we have

$$\begin{aligned} \overline{D}_{2k}(x) &= \{v \in \Delta_{2k}(U) : u \rightarrow v \text{ for some } u \in \overline{D}_{2\ell-1}(x), \ell \leq k\} \\ \overline{D}_{2k+1}(x) &= \{v \in \Delta_{2k+1}(U) : v \rightarrow u \text{ for some } u \in \overline{D}_{2\ell}(x), \ell \leq k\} \end{aligned}$$

We then define  $D_t(x) = \bigcup_{k \leq t} \overline{D}_k(x)$  and  $D(x) = \bigcup_{t=0}^{\infty} D_t(x)$ .

Notice that  $\overline{D}_k(x) \subseteq \overline{D}_{k+2}(x)$  for  $k \geq 1$  if  $x \in A$ , and for  $k \geq 2$  if  $x \in B$ . Our main result from [15] was the following.

**Theorem 2.3.** *Let  $T$  be a clone-free multipartite tournament, and let  $U = A \cup B$  be convexly independent. Suppose  $|U| \geq 4$ , and let  $x, y, z \in U$ .*

1. *For all  $k, \ell \geq 0$ ,  $\overline{D}_{2k}(x) \subseteq P_0$  and  $\overline{D}_{2\ell+1}(x) \subseteq P_1$ .*
2. *If  $x \neq y$ , then  $\overline{D}_{2k}(x) \rightarrow \overline{D}_{2\ell+1}(y)$  for all  $k, \ell \geq 0$ .*
3. *Let  $u \in \overline{D}_r(x)$ ,  $v \in \overline{D}_s(y)$ , where  $x \neq y$ ,  $r$  and  $s$  have the same parity. If  $x, y \in A$  and  $\overline{D}_1(x), \overline{D}_1(y) \neq \emptyset$  or if  $x, y \in B$  and  $\overline{D}_2(x), \overline{D}_2(y) \neq \emptyset$ , then  $x \vee y = u \vee v$ .*
4. *Let  $u \in \overline{D}_m(x)$ ,  $v \in \overline{D}_n(y)$ , and  $w \in \overline{D}_p(z)$ , where  $x, y$ , and  $z$  are distinct. Then  $x \vee y \vee z = u \vee v \vee w$ .*

We will also make use of the following result from [15].

**Lemma 2.4.** *Let  $T$  be a clone-free multipartite tournament, let  $U = A \cup B$  be a convexly independent set, and let  $z \in V - (P_0 \cup P_1)$ .*

1. *If  $|U| \geq 4$  and  $z$  distinguishes two vertices in  $V_U$ , then  $(V_U \cap P_0) \rightarrow z \rightarrow (V_U \cap P_1)$ .*
2. *If  $|U| \geq 3$  and  $z$  distinguishes two vertices in  $U \cup D_A^{\rightarrow}$ , then  $(A \cup D_B^{\leftarrow}) \rightarrow z \rightarrow (B \cup D_A^{\rightarrow})$ .*

### 3 Induced Clone-Free Digraphs

From our work in [14] and [15], we have a great deal of knowledge about convexly independent sets in clone-free multipartite tournaments. The following helps us make connections between general multipartite tournaments and clone-free multipartite tournaments.

**Definition 3.1.** Let  $T$  be a directed graph.

1. We define the *induced clone-free digraph* of  $T$ , denoted by  $T_f$ , to be the multipartite tournament with vertex set  $\{[v] : v \in V(T)\}$  and arcs given by  $[v] \rightarrow [w]$  if and only if  $v \rightarrow w$  in  $T$ .
2. For  $U \subseteq V(T)$ , define  $[U] = \{[v] : v \in U\}$ .

The following is immediate.

**Lemma 3.2.** *For each  $S \subseteq V(T_f)$ , there exists a subset  $U \subseteq V(T)$  with  $[U] = S$ . One such set is  $\{v \in V(T) : [v] \in S\}$ . Moreover,  $U$  can be chosen so that no two vertices in  $U$  are clones.*

Given a convexly independent set  $U \subseteq V(T)$ , we write  $U = A \cup B$  where  $A$  and  $B$  have the properties discussed in the previous section. We now relate the convex subsets in  $T$  and  $T_f$ .

**Lemma 3.3.** *Let  $T$  be a multipartite tournament, and let  $U \subseteq V(T)$  be a convexly independent set. For all  $k \geq 0$ , we have*

1.  $[C_k(U)] = C_k([U])$ .
2.  $[\Delta_k(U)] = \Delta_k([U])$ .
3.  $[\overline{D}_k(x)] = \overline{D}_k([x])$  for all  $x \in U$ .
4.  $[D_k(x)] = D_k([x])$  for all  $x \in U$ .

*Proof.* For (1), we induct on  $k$ . For  $k = 0$ , we get  $[C_0(U)] = [U] = C_0([U])$ . For  $k > 0$ , let  $[x] \in [C_k(U)]$ . Then  $x$  or some clone of  $x$  is in  $C_k(U)$ , so  $u_1 \rightarrow x \rightarrow u_2$  for some  $u_1, u_2 \in C_{k-1}(U)$ . Thus,  $[u_1] \rightarrow [x] \rightarrow [u_2]$ . By induction,  $[u_1], [u_2] \in [C_{k-1}(U)] = C_{k-1}([U])$ , so we have  $[x] \in C_k([U])$ . Thus,  $[C_k(U)] \subseteq C_k([U])$ . The other direction is similar, and (2) follows similarly.

For (3), the case  $k = 0$  when  $x \in A$  and the cases  $k = 0, 1$  when  $x \in B$  are trivial. For  $k \geq 1$  when  $x \in A$  or  $k \geq 2$  when  $x \in B$ , let  $[v] \in [\overline{D}_k(x)]$  with  $v \in \overline{D}_k(x)$ . If  $k$  is odd, there exist  $u \in \overline{D}_{k-1}(x)$ ,  $w \in \Delta_{k-1}(U)$  with  $w \rightarrow v \rightarrow u$ . By induction and (2),  $[u] \in \overline{D}_{k-1}([x])$  and  $[w] \in \Delta_{k-1}([U])$ . Thus,  $[v] \in \overline{D}_k([x])$ , and so  $[\overline{D}_k(x)] \subseteq \overline{D}_k([x])$ . Similarly,  $\overline{D}_k([x]) \subseteq [\overline{D}_k(x)]$ , which gives us (3). The case when  $k$  is even is similar and part (4) follows immediately.  $\square$

From this, we get the following.

**Corollary 3.4.** *Let  $T$  be a multipartite tournament, and let  $U \subseteq V(T)$  be a convexly independent set. Then*

1.  $[C(U)] = C([U])$ .
2.  $[D(x)] = D([x])$  for all  $x \in U$ .

We end this section with a lemma that describes when clones may be added to or taken away from a set without substantially affecting its convex hull.

**Lemma 3.5.** *Let  $U \subseteq V(T)$ ,  $u \in U$ , and  $S \subseteq [u]$ . Then*

1.  $C_k(U \cup S) = C_k(U) \cup S$  for all  $k \geq 0$ , and so  $C(U \cup S) = C(U) \cup S$ .
2. If  $(U - S) \cap [u] \neq \emptyset$ , then  $C_k(U) - S \subseteq C_k(U - S)$  for all  $k \geq 0$ , and so  $C(U) - S \subseteq C(U - S)$ . Equality holds if  $U$  is convexly independent and  $S \cap U \neq \emptyset$ .

*Proof.* For (1), we induct on  $k$ . For  $k = 0$ , we have  $C_0(U \cup S) = U \cup S = C_0(U) \cup S$ . For  $k \geq 1$ , we clearly have  $C_k(U) \cup S \subseteq C_k(U \cup S)$ , so it suffices to show the other inclusion. Let  $v \in C_k(U \cup S)$ . If  $v \in U \cup S$ , then clearly  $v \in C_k(U) \cup S$ . Otherwise, there exist  $w_1, w_2 \in C_{k-1}(U \cup S)$  with  $w_1 \rightarrow v \rightarrow w_2$ . By induction,  $w_1, w_2 \in C_{k-1}(U) \cup S$ .

If  $w_1, w_2 \in C_{k-1}(U)$ , then  $v \in C_k(U)$ . Since  $w_1$  and  $w_2$  are not clones, we cannot have  $w_1, w_2 \in S$ , so it suffices to prove the case where  $w_1 \in C_{k-1}(U)$  and  $w_2 \in S$ . Since  $w_2$  and  $u$  are clones,  $w_1 \rightarrow v \rightarrow u$ , and so  $v \in C_k(U)$ , proving the result.

For (2), let  $v \in (U - S) \cap [u]$ . We then have  $[v] = [u]$ , and so we can apply (1) with  $v$  in place of  $u$ ,  $U - S$  in place of  $U$ , and  $U \cap S$  in place of  $S$  to get

$$C_k(U) = C_k((U - S) \cup (U \cap S)) = C_k(U - S) \cup (U \cap S)$$

and so  $C_k(U) - S \subseteq C_k(U - S)$ .

Now suppose  $U$  is convexly independent and  $S \cap U \neq \emptyset$ . Clearly,  $C_k(U - S) \subseteq C_k(U)$ , so it suffices to show  $C_k(U - S) \cap S = \emptyset$  for all  $k \geq 0$ . If not, let  $k$  be minimal such that there exists  $x \in C_k(U - S) \cap S$  for some  $k$ . If  $k = 0$ , then  $x \in U - S$ , which contradicts  $x \in S$ . If  $k \geq 1$ , then there exist  $w_1, w_2 \in C_{k-1}(U - S)$  with  $w_1 \rightarrow x \rightarrow w_2$ . Since  $x \in S \subseteq [u]$ , we have  $w_1 \rightarrow [u] \rightarrow w_2$ , and so  $[u] \subseteq C_k(U - S) \subseteq C(U - S)$ . Since  $S \cap U \neq \emptyset$ , this contradicts the convex independence of  $U$ .  $\square$

## 4 Convexly Independent Sets in General Multipartite Tournaments

In this section, we seek to use our understanding of convex independence in clone-free multipartite tournaments to help us understand convex independence in general multipartite tournaments. Throughout this section, let  $T$  be a (general) multipartite tournament. We begin by showing that convex independence of a set in  $T$  is preserved when it is passed down to  $T_f$ .

**Lemma 4.1.** *Let  $U \subseteq V(T)$  be a convexly independent set in  $T$ . Then  $[U]$  is a convexly independent set of  $T_f$ .*

*Proof.* For contradiction, assume that  $U$  is convexly independent and  $[U]$  is convexly dependent. Then there exists  $u \in U$  with  $[u] \in C([U] - \{[u]\})$ . Let  $[u] \in C_k([U] - \{[u]\})$  with  $k$  minimal. Clearly,  $k \geq 1$ . Then there exist  $[v], [w] \in C_{k-1}([U] - \{[u]\})$  with  $[v] \rightarrow [u] \rightarrow [w]$ . Since  $[U] - \{[u]\} = [U - [u]]$  then  $C_{k-1}([U] - \{[u]\}) = C_{k-1}(U - [u])$  by Lemma 3.3(1). Thus, we can assume that  $v, w \in C_{k-1}(U - [u])$  so that  $u \in C_k(U - [u]) \subseteq C(U - [u]) \subseteq C(U - \{u\})$ , violating the convex independence of  $U$ .  $\square$

This helps give us a better characterization of convex subsets in  $T$ .

**Lemma 4.2.** *Let  $U \subseteq V(T)$ . Then  $U$  is convexly independent in  $T$  if and only if  $[U]$  is convexly independent in  $T_f$  and for each  $u \in U$  either*

1.  $[u] \cap U = \{u\}$  or
2.  $u$  does not distinguish any vertices in  $C(U)$ .

*Proof.* First assume that  $U$  is convexly independent. By Lemma 4.1,  $[U]$  is also convexly independent. Now suppose for contradiction that  $u' \in ([u] \cap U) - \{u\}$  and there exist  $v, w \in C(U)$  with  $v \rightarrow u \rightarrow w$ . By Lemma 3.5(2),  $v, w \in C(U) - \{u\} \subseteq C(U - \{u\})$ . We get  $u \in C(U - \{u\})$ , which contradicts convex independence. Thus,  $u$  cannot distinguish vertices in  $C(U)$ .

For the converse, suppose that  $U$  is convexly dependent. Then there exists  $u \in U$  such that  $u \in C(U - \{u\})$ . We have  $u \in C_k(U - \{u\})$  for some  $k \geq 1$ , and so there exist  $v, w \in C_{k-1}(U - \{u\}) \subseteq C(U)$  with  $v \rightarrow u \rightarrow w$ . Thus,  $u$  distinguishes vertices in  $C(U)$ , and so  $[u] \cap U = \{u\}$ . We then have  $[U - \{u\}] = [U] - \{[u]\}$ , and so  $[u] \in C([U] - \{[u]\}) = C([U] - \{[u]\})$ . This contradicts the convex independence of  $[U]$ , and the result follows.  $\square$

For the case  $|[U]| \geq 3$ , our next lemma helps us understand the convex independence of  $U$  without having to know  $C(U)$ .

**Lemma 4.3.** *Let  $U \subseteq V(T)$  with  $|[U]| \geq 3$ , and assume that  $[U]$  is a convexly independent set in  $T_f$ . Then for all  $u \in U$ ,  $u$  distinguishes two vertices in  $C(U)$  if and only if  $D(u) \neq \{u\}$ .*

*Proof.* Let  $u \in U$  and assume  $v \rightarrow u \rightarrow w$  for some  $v, w \in C(U)$ . When  $u \in A$ ,  $v \in \Delta_k(U)$  for some  $k \geq 1$  and  $v \in \overline{D}_l(u)$  for some odd  $l \geq k$ . Similarly, if  $u \in B$  then  $w \in \Delta_k(U)$  for some  $k \geq 2$  and  $w \in \overline{D}_l(u)$  for some even  $l \geq k$ . Either way,  $D(u) \neq \{u\}$ .

Conversely, assume  $D(u) \neq \{u\}$ . Suppose  $u \in A$  and let  $k$  be the smallest positive odd integer such that  $\overline{D}_k(u) \neq \{u\}$ , say  $v \in \overline{D}_k(u) - \{u\}$ . Then  $v \rightarrow [u]$ . If  $B \neq \emptyset$  then there is a  $w \in B$  such that  $u \rightarrow w$ . Thus  $v \rightarrow u \rightarrow w$  so  $u$  distinguishes vertices

in  $C(U)$ . Now assume  $B = \emptyset$ , and note that  $||[U]|| \geq 3$ ,  $[U]$  is convexly independent in  $T_f$ , and  $T_f$  is clone-free. By Theorem 2.1(1) there is a  $[w] \in D_{\vec{A}}$  such that  $[u] \rightarrow [w]$ . It follows that  $v \rightarrow u \rightarrow w$ , so again  $u$  distinguishes vertices in  $C(U)$ . The argument when  $u \in B$  is similar.  $\square$

Putting together Lemmas 4.2 and 4.3, we get

**Theorem 4.4.** *Let  $T$  be a multipartite tournament, with  $U \subseteq V(T)$ . If  $||[U]|| \geq 3$  then  $U$  is convexly independent in  $T$  if and only if*

1.  $[U]$  is convexly independent in  $T_f$  and
2. For all  $u \in U$ , either  $D(u) = \{u\}$  or  $[u] \cap U = \{u\}$ .

This result limits the vertices in a convexly independent set that can be clones.

**Corollary 4.5.** *Let  $T$  be a multipartite tournament, and let  $U \subseteq V(T)$  be a convexly independent set with  $||[U]|| \geq 3$ . If  $P$  is a partite set with a nonempty intersection with  $U$ , then there exists at most one  $[v] \in [P]$  with  $||[v] \cap U|| \geq 2$ .*

*Proof.* Let  $[v], [w] \in [P]$  such that  $[v] \cap U$  and  $[w] \cap U$  each have at least two vertices. Since  $v$  and  $w$  are not clones, there exists  $x \in V$  that distinguishes  $v$  and  $w$  (say  $v \rightarrow x \rightarrow w$ ). But then either  $w, x \in D(w)$  or  $v, x \in D(v)$ , which violates Theorem 4.4.  $\square$

It also turns out that, in most cases, any  $[u] \in V(T_f)$  with  $||[u] \cap U|| \geq 2$  does not contribute significantly to  $C(U)$ .

**Corollary 4.6.** *Let  $U$  be a convexly independent set with  $||[A]|| \geq 3$  or  $||[U]|| \geq 4$ , and let  $u \in U$  with  $||[u] \cap U|| \geq 2$ . Then  $C(U) - C(U - [u]) = [u] \cap U$ .*

*Proof.* The fact  $[u] \cap U \subseteq C(U) - C(U - [u])$  follows directly from the convex independence of  $U$ , so we need only show that  $C(U) - C(U - [u]) \subseteq [u] \cap U$ . Suppose this is not the case, and let  $k$  be minimal such that there exists  $y \in C_k(U)$  with  $y \in C(U) - C(U - [u])$  and  $y \notin [u] \cap U$ . If  $k = 0$ , then  $y \in C_0(U) = U$ . Moreover,  $y \notin C(U - [u])$  so we must have  $y \in [u]$  and thus  $y \in [u] \cap U$ , a contradiction. Therefore,  $k \geq 1$ .

Since  $k \geq 1$ , there exist  $z_1, z_2 \in C_{k-1}(U)$  with  $z_1 \rightarrow y \rightarrow z_2$ . Since  $y \notin C(U - [u])$ , we must have  $z_1 \notin C(U - [u])$  or  $z_2 \notin C(U - [u])$ . By the minimality of  $k$ , this implies that  $z_1 \in [u] \cap U$  or  $z_2 \in [u] \cap U$ . Without loss of generality, we can assume that  $u = z_1$  or  $u = z_2$ . Suppose that  $u = z_1$ . Since  $U = A \cup B$ , we have  $u \in A$  or  $u \in B$ . If  $u \in B$ , then  $A \rightarrow B$  implies that  $A \rightarrow u \rightarrow y$ , and so  $u$  distinguishes two vertices in  $C(U)$ . By Lemma 4.2, this implies that  $U$  is not convexly independent, a contradiction. Thus,  $u \in A$ . If  $u = z_2$  and  $u \in A$  a similar argument using  $y \rightarrow U \rightarrow B \cup D_{\vec{A}}$  gives a contradiction. Thus if  $u = z_2$  then  $u \in B$ . Furthermore, since we cannot have both  $z_1, z_2 \in [u]$ , then the minimality of  $k$  implies that one of  $z_1$  and  $z_2$  is in  $C(U - [u])$ .



We first consider the case where  $|[A]| \geq 3$ . Let  $a_1, a_2, a_3 \in A$  be distinct and not clones of one another. By Lemma 4.2 and Theorem 2.1(1), without loss of generality, there exist  $v_2, v_3 \in D_A^{\rightarrow}$  such that  $v_2 \rightarrow a_2$  and  $v_3 \rightarrow a_3$ .

If  $u = z_1$ , then as discussed above,  $u \in A$  and  $z_2 \in C(U - [u])$ . If there exists some  $a \in A - [u]$  with  $a \rightarrow y$ , then  $a \rightarrow y \rightarrow z_2$ , and so  $y \in C(U - [u])$ , a contradiction. Thus,  $y \rightarrow A - [u]$ . Since  $|[A]| \geq 3$ ,  $y$  dominates at least two vertices in  $A$  that are not clones, and so  $y \notin D_A^{\rightarrow}$ . By Theorem 2.1(5), we must have  $y \rightarrow A$ , and so  $y \rightarrow u$ , a contradiction since  $u = z_1$ .

If  $u = z_2$ , then we have  $u \in B$  and  $z_1 \in C(U - [u])$ . If we have  $y \rightarrow v_2$ , we get  $z_1 \rightarrow y \rightarrow v_2$ . Since  $z_1, v_2 \in C(U - [u])$ , this would imply  $y \in C(U - [u])$ , a contradiction. Thus,  $v_2 \rightarrow y$  and, similarly,  $v_3 \rightarrow y$ . Since  $a_1 \rightarrow v_2 \rightarrow a_2$ , we have  $v_2 \in a_1 \vee a_2 \vee u$ . Then  $v_2 \rightarrow y \rightarrow u$ ,  $a_2 \rightarrow v_3 \rightarrow y$ , and  $v_3 \rightarrow a_3 \rightarrow v_2$  imply that  $a_3 \in a_1 \vee a_2 \vee u$ . This contradicts the convex independence of  $U$ .

We now move on to the case where  $|[A]| \leq 2$ . In this case, we must have  $|[U]| \geq 4$ . Since also  $|[A]| \geq |[B]|$ , we must have  $|[A]| = |[B]| = 2$ . So let  $a_1, a_2 \in A$  and  $b_1, b_2 \in B$ , no two of which are clones, and let  $v \in D_A^{\rightarrow}$ ,  $w \in D_B^{\leftarrow}$  such that  $v \rightarrow a_2$  and  $b_2 \rightarrow w$ . Since  $|[u] \cap U| \geq 2$ , Theorem 4.4 implies that  $D(u) = \{u\}$ . Thus,  $a_1 \in [u]$  or  $b_1 \in [u]$ .

If  $u = z_1$ , then  $u \in A$  and  $z_2 \in C(U - [u])$  as before. Thus, without loss of generality,  $u = a_1$ . If  $a_2 \rightarrow y$ , then  $a_2 \rightarrow y \rightarrow z_2$ , and so  $y \in C(U - [u])$ , a contradiction. Thus,  $y \rightarrow a_2$ . If  $w \rightarrow y$ , then we have  $b_2 \rightarrow w \rightarrow b_1$  and  $w \rightarrow y \rightarrow z_2$ . Again, this implies  $y \in C(U - [u])$ , a contradiction. Thus,  $y \rightarrow w$ . But now  $u \rightarrow y \rightarrow a_2$ ,  $y \rightarrow w \rightarrow b_1$ , and  $a_2 \rightarrow b_2 \rightarrow w$ . This implies  $b_2 \in u \vee a_2 \vee b_1$ , which contradicts the convex independence of  $U$ .

Finally, if  $u = z_2$ , then  $u \in B$  and  $z_1 \in C(U - [u])$ , and so we can assume that  $u = b_1$ . If  $y \rightarrow b_2$ , then  $z_1 \rightarrow y \rightarrow b_2$ , and so  $y \in C(U - [u])$ , a contradiction. Similarly, if  $y \rightarrow v$ , then  $a_1 \rightarrow v \rightarrow a_2$  and  $z_1 \rightarrow y \rightarrow v$  imply that  $y \in C(U - [u])$ , a contradiction. Thus,  $\{b_2, v\} \rightarrow y$ . But now  $b_2 \rightarrow y \rightarrow u$ ,  $a_1 \rightarrow v \rightarrow y$ , and  $v \rightarrow a_2 \rightarrow b_2$ , which implies that  $a_2 \in a_1 \vee u \vee b_2$ . This contradicts the convex independence of  $U$  and completes the proof.  $\square$

For our main result, we generalize Theorem 2.3 to general multipartite tournaments.

**Theorem 4.7.** *Let  $T$  be a multipartite tournament, and let  $U = A \cup B$  be convexly independent. Suppose  $|[U]| \geq 4$ , and let  $x, y, z \in U$ .*

1. For all  $k, \ell \geq 0$ ,  $\overline{D}_{2k}(x) \subseteq P_0$  and  $\overline{D}_{2\ell+1}(x) \subseteq P_1$ .
2. If  $x \neq y$ , then  $\overline{D}_{2k}(x) \rightarrow \overline{D}_{2\ell+1}(y)$  for all  $k, \ell \geq 0$ .
3. Let  $u \in \overline{D}_r(x)$ ,  $v \in \overline{D}_s(y)$ , where  $[x] \neq [y]$ ,  $r$  and  $s$  have the same parity. If  $x, y \in A$  and  $\overline{D}_1(x), \overline{D}_1(y) \neq \emptyset$  or if  $x, y \in B$  and  $\overline{D}_2(x), \overline{D}_2(y) \neq \emptyset$ , then  $x \vee y = u \vee v$ .

4. Let  $u \in \overline{D}_m(x)$ ,  $v \in \overline{D}_n(y)$ , and  $w \in \overline{D}_p(z)$ , where  $[x]$ ,  $[y]$ , and  $[z]$  are distinct. Then  $x \vee y \vee z = u \vee v \vee w$ .

*Proof.* By Theorem 2.3, the theorem holds for clone-free multipartite tournaments. In particular, it holds for  $T_f$ . Part (1) follows directly, and (2) follows in the case  $[x] \neq [y]$ . Moreover, (2) is vacuously true if  $[x] = [y]$ , since Theorem 4.4(2) implies  $D(x) = \{x\}$  and  $D(y) = \{y\}$ .

For (3), we assume  $x, y \in A$ , the case  $x, y \in B$  being similar. Since (3) holds for  $T_f$ , we have  $[x \vee y] = [u \vee v]$ . We begin by showing that all clones of  $x$  and  $y$  are in  $x \vee y$  and all clones of  $u$  and  $v$  are in  $u \vee v$ . Since  $\overline{D}_1(x), \overline{D}_1(y) \neq \emptyset$ , let  $w_1 \in \overline{D}_1(x)$  and  $w_2 \in \overline{D}_1(y)$ . By (2) and the definitions, we have  $x \rightarrow w_2 \rightarrow y \rightarrow w_1 \rightarrow x$ . Clearly,  $x \vee y = w_1 \vee w_2$ . Since  $x'$  and  $x$  are clones, we have  $w_1 \rightarrow x' \rightarrow w_2$ , and so  $x' \in w_1 \vee w_2 = x \vee y$ . Similarly, any clone of  $y$  is in  $x \vee y$ .

For  $u \vee v$ , let  $r$  and  $s$  be even, the odd case being similar. If  $r, s \geq 2$ , we have  $u' \in \overline{D}_{r-1}(x)$ ,  $v' \in \overline{D}_{s-1}(y)$  with  $u' \rightarrow u$  and  $v' \rightarrow v$ . Since also  $v \rightarrow u'$  and  $u \rightarrow v'$ , we have  $u' \vee v' = u \vee v$ , and the result follows as with  $x \vee y$ . The case  $u = x$  and  $v = y$  was proven in the previous paragraph. If  $u = x$  and  $v \neq y$ , let  $q \in \overline{D}_1(x)$ . We then have  $x \rightarrow v' \rightarrow v \rightarrow q \rightarrow x$ . As before,  $x \vee v = q \vee v'$  and any clone of  $x$  or  $v$  is in  $q \vee v'$ . Thus all clones of  $x$  and  $y$  are in  $x \vee y$  and all clones of  $u$  and  $v$  are in  $u \vee v$ . Since clones of elements of  $x \vee y$  not in  $[x] \cup [y]$  would be pulled into  $x \vee y$  and similarly for  $u \vee v$ , this completes the proof. Part (4) follows similarly.  $\square$

This leads to the following analogue of Corollary 3.8 in [15].

**Corollary 4.8.** *Let  $T$  be a multipartite tournament and let  $U = A \cup B$  be a convexly independent set with  $|U| \geq 4$ . Then for  $x \in U$  the  $D(x)$  are pairwise disjoint.*

*Proof.* It suffices to show that the  $\overline{D}_t(x)$ 's are pairwise disjoint for all  $t \geq 0$ . Suppose that  $v \in \overline{D}_t(x) \cap \overline{D}_t(y)$ , where  $x, y \in U$  are distinct. We do the case of  $v \in P_1$ . The case  $v \in P_0$  is similar. Clearly, we must have  $t \geq 2$ . Since  $v \in \overline{D}_t(x)$ , there exists  $v' \in \overline{D}_{t-1}(x)$  with  $v \rightarrow v'$ . But since  $v \in \overline{D}_t(y)$ , Theorem 4.7(2) implies that  $v' \rightarrow v$ , a contradiction.  $\square$

## 5 Helly, Radon, and Convex Independence

Our results in this section explore the relationship between H-, R-, and convex independence. This will help us determine when the Helly number, Radon number, and rank are equal.

The following helps us to determine how the addition of clones affects H-, R-, and convex independence.

**Theorem 5.1.** *Let  $T$  be a multipartite tournament. Let  $U \subseteq V(T)$ ,  $u \in U$ , and  $S \subseteq [u]$ . Suppose  $|[u] \cap U| \geq 2$ . If  $U$  is convexly independent (respectively R- or H-independent), then  $U \cup S$  is also convexly independent (respectively R- or H-independent).*

*Proof.* Without loss of generality, assume  $[u] \cap U \subseteq S$ . Assume  $U$  is convexly independent and let  $x \in U \cup S$ . By Lemma 3.5(1),

$$C((U \cup S) - \{x\}) = C([U - \{x\}] \cup (S - \{x\})) = C(U - \{x\}) \cup (S - \{x\})$$

Clearly,  $x \notin S - \{x\}$ . Moreover,  $x \notin C(U - \{x\})$  by convex independence, and so  $x \notin C((U \cup S) - \{x\})$ , which makes  $U \cup S$  convexly independent.

If  $U$  is  $R$ -independent, suppose we have a nontrivial partition  $U \cup S = R_1 \cup R_2$ . Let  $U_i = U \cap R_i$  and  $S_i = S \cap R_i$  for  $i = 1, 2$ . By Lemma 3.5(1), we have

$$\begin{aligned} C(R_1) \cap C(R_2) &= (C(U_1) \cup S_1) \cap (C(U_2) \cup S_2) \\ &= (C(U_1) \cap C(U_2)) \cup (C(U_1) \cap S_2) \cup (C(U_2) \cap S_1) \cup (S_1 \cap S_2) \end{aligned}$$

Now  $C(U_1) \cap C(U_2) = \emptyset$  since  $U$  is  $R$ -independent. Note that  $U$  is convexly independent, and so  $U \cup S$  is also convexly independent. Therefore,  $C(U_1) \cap S_2 = C(U_2) \cap S_1 = \emptyset$ . Finally,  $S_1 \cap S_2 \subseteq R_1 \cap R_2 = \emptyset$ . Thus,  $C(R_1) \cap C(R_2) = \emptyset$ , and so there are no Radon partitions of  $U \cup S$ . This makes  $U \cup S$   $R$ -independent.

If  $U$  is  $H$ -independent, we seek to prove that  $\bigcap_{x \in U \cup S} C((U \cup S) - \{x\}) = \emptyset$ . As above

$$C((U \cup S) - \{x\}) = C((U - \{x\}) \cup (S - \{x\})) = C(U - \{x\}) \cup (S - \{x\})$$

for any  $x \in U \cup S$ . Since  $\bigcap_{x \in U \cup S} C(U - \{x\}) \subseteq \bigcap_{x \in U} C(U - \{x\}) = \emptyset$  by the  $H$ -independence of  $U$  then

$$\bigcap_{x \in U \cup S} C((U \cup S) - \{x\}) = \bigcap_{x \in U \cup S} (C(U - \{x\}) \cup (S - \{x\})) \subseteq S.$$

Since  $U$  is  $H$ -independent, it is also convexly independent so  $U \cup S$  is convexly independent. Thus if  $x \in S$  then  $x \notin C((U \cup S) - \{x\})$ . Thus  $\bigcap_{x \in U \cup S} C((U \cup S) - \{x\}) = \emptyset$  so  $U \cup S$  is  $H$ -independent.  $\square$

As in the previous section, let  $P_0$  and  $P_1$  be partite sets of  $T$  such that  $U = A \cup B$  where  $A = U \cap P_0$  and  $B = U \cap P_1$ . We also assume  $||A|| \geq ||B||$ ,  $A \rightarrow B$  and  $D_A^- \neq \emptyset$  when  $B = \emptyset$ . Our next result helps describe  $H$ -,  $R$ -, and convexly independent sets  $U$  when  $||U||$  is small.

**Theorem 5.2.** *Let  $U \subseteq V(T)$ ,  $U = A \cup B$  with  $||A|| \geq ||B||$ ,  $A \rightarrow B$  when  $B \neq \emptyset$ .*

1. *If  $||U|| = 1$ , then  $U$  is  $H$ -,  $R$ -, and convexly independent.*
2. *If  $||U|| = 2$ , then  $U$  is  $H$ -independent if and only if  $U$  is  $R$ -independent if and only if either*

(a)  *$U$  is convexly independent, and  $||[v] \cap U|| \geq 2$  for at most one  $[v] \in V(T_f)$*   
or

(b) *No vertices distinguish any two vertices in  $U$ .*

3. If  $||[U]| = 3$ , then  $U$  is  $H$ -independent if and only if  $U$  is convexly independent and  $[U]$  is  $H$ -independent.
4. If  $||[U]| = 3$ , then  $U$  is  $R$ -independent if and only if either
- (a)  $U$  is convexly independent and  $|U| = 3$ ,
  - (b)  $U$  is  $H$ -independent.

*Proof.* If  $||[U]| = 1$ , then  $C(S) = S$  for all  $S \subseteq U$ , and so (1) follows.

For (2), let  $u, v \in U$  with  $[U] = \{[u], [v]\}$ . If  $U$  is  $R$ -independent, then  $U$  is convexly independent. Further, suppose  $|[u] \cap U|, |[v] \cap U| \geq 2$ . Let  $R_1 = \{u, v\}$ ,  $R_2 = U - \{u, v\}$ . Then there exist  $u' \in [u] \cap R_2$  and  $v' \in [v] \cap R_2$ . Any vertex  $y$  that distinguishes  $u$  and  $v$  will also distinguish  $u'$  and  $v'$ , and so  $y \in (u \vee v) \cap (u' \vee v') \subseteq C(R_1) \cap C(R_2)$ , making  $R_1 \cup R_2$  a Radon partition. Thus,  $R$ -independence implies that either  $|[v] \cap U| \geq 2$  for at most one  $[v] \in V(T_f)$  or no vertices distinguish any two vertices in  $U$ .

Now suppose  $U$  is convexly independent and  $|[v] \cap U| \geq 2$  for at most one  $[v] \in V(T_f)$ . If  $U = \{u, v\}$ , then clearly  $U$  is  $H$ -independent. If  $|[v] \cap U| \geq 2$ , and  $U - [v] = \{u\}$  then  $C(U - \{u\}) = U \cap [v]$ . By Lemma 3.5(2), for each  $w \in U \cap [v]$  we have  $C(U - \{w\}) = C(U) - \{w\}$ , giving us

$$\begin{aligned} \bigcap_{w \in U} C(U - \{w\}) &= (U \cap [v]) \cap \left( \bigcap_{w \in U \cap [v]} C(U) - \{w\} \right) \\ &= (U \cap [v]) \cap (C(U) - (U \cap [v])) = \emptyset \end{aligned}$$

This makes  $U$   $H$ -independent. If no vertices distinguish  $u$  and  $v$ , then  $C(S) = S$  for each  $S \subseteq U$ , and so  $U$  is  $H$ -independent. Since  $H$ -independence implies  $R$ -independence, this completes the proof of (2).

For (3), Let  $u, v, w \in U$  with  $[U] = \{[u], [v], [w]\}$ . Suppose  $U$  is  $H$ -independent. By Lemma 4.1 and the fact that  $H$ -independence implies convex independence, both  $U$  and  $[U]$  are convexly independent. For contradiction, assume  $[U]$  is  $H$ -dependent, and let  $[y] \in C(\{[u], [v]\}) \cap C(\{[u], [w]\}) \cap C(\{[v], [w]\})$ . Let  $[y] \in C_k(\{[u], [v]\})$ , with  $k$  minimal. By convex independence of  $[U]$ , we may assume  $k \geq 1$ . Then there exists  $[z_1], [z_2] \in C_{k-1}(\{[u], [v]\}) = [C_{k-1}(\{u, v\})]$  with  $[z_1] \rightarrow [y] \rightarrow [z_2]$ . Without loss of generality,  $z_1, z_2 \in C_{k-1}(\{u, v\})$ , and so  $[y] \subseteq C_k(\{u, v\}) \subseteq C(\{u, v\})$ . Similarly,  $[y] \subseteq C(\{u, w\}) \cap C(\{v, w\})$ , violating the  $H$ -independence of  $U$ .

For the converse, suppose that  $U$  is convexly independent and  $[U]$  is  $H$ -independent. Consider first the case  $[A] = \{[u], [v]\}$  with  $[v] \cap A = \{v\}$  and  $[B] = \{[w]\}$ . Applying Lemma 2.4(2) to  $[z] \in T_f$  we see that any vertex  $z \notin P_0 \cup P_1$  that distinguishes vertices in  $U$  must satisfy  $A \rightarrow z \rightarrow B \cup D_{\bar{A}}$ . But this would imply  $[z] \in C(\{[u], [v]\}) \cap C(\{[u], [w]\}) \cap C(\{[v], [w]\})$ , making  $[U]$   $H$ -dependent, a contradiction. Thus, no such  $z$  exists, and so  $C([u] \cup [w]) = [u] \cup [w]$  and  $C(U - \{v\}) = U - \{v\}$ . By convex independence of  $U$  for each  $x \in U - \{v\}$  we have  $C(U - \{x\}) \subseteq C(U) - \{x\}$ . Thus  $\bigcap_{x \in U} C(U - \{x\}) = \emptyset$  making  $U$   $H$ -independent.

Finally, suppose  $U = A$ . If  $|U| = 3$ , then  $U = \{u, v, w\}$ . Since  $[U]$  is  $H$ -independent, we have  $[C(\{u, v\})] \cap [C(\{u, w\})] \cap [C(\{v, w\})] = \emptyset$ , and so  $C(\{u, v\}) \cap C(\{u, w\}) \cap C(\{v, w\}) = \emptyset$ , making  $U$   $H$ -independent. If  $|U| \geq 4$ , let  $|[u] \cap U| \geq 2$ ,  $[v] \cap U = \{v\}$  and  $[w] \cap U = \{w\}$ . Assume  $U$  is  $H$ -dependent, say  $y \in \bigcap_{x \in U} C(U - \{x\})$ . Applying Lemma 3.5(2)

$$\begin{aligned} \bigcap_{x \in U} C(U - \{x\}) &= \left( \bigcap_{x \in [u] \cap U} C(U - \{x\}) \right) \cap C(([u] \cap U) \cup \{v\}) \cap C(([u] \cap U) \cup \{w\}) \\ &= (C(U) - ([u] \cap U)) \cap C(([u] \cap U) \cup \{v\}) \cap C(([u] \cap U) \cup \{w\}) \\ &= (C(([u] \cap U) \cup \{v\}) \cap C(([u] \cap U) \cup \{w\})) - ([u] \cap U) \end{aligned}$$

Then  $[y] \in C(\{[u], [v]\}) \cap C(\{[u], [w]\})$ . Since  $[U]$  is  $H$ -independent  $[y] \notin C(\{[v], [w]\}) = [C(\{v, w\})]$  so  $y \notin C(\{v, w\})$ . By Corollary 4.6,  $y \in C(U) - C(\{v, w\}) = [u] \cap U$  which is a contradiction. Thus  $U$  is  $H$ -independent.

For (4), let  $u, v, w \in U$  with  $[U] = \{[u], [v], [w]\}$ . Assume  $U$  is  $R$ -independent. Suppose  $|U| \geq 4$ . Since  $U$  is clearly convexly independent, by (3), we need only show that  $[U]$  is  $H$ -independent in  $T_f$ . For contradiction, assume that  $[U]$  is  $H$ -dependent. Since  $T_f$  is clone-free, Theorem 4.8 in [15] implies that there exists  $z \notin P_0 \cup P_1$  with  $A \rightarrow z \rightarrow B \cup D_A^-$ . It suffices to produce a Radon partition of  $U$ .

By hypothesis,  $|[A]| \geq 2$  so let  $[u], [v] \subseteq A$ . We can also assume that  $D_A^- \neq \emptyset$ , and that there exists  $q \in D_A^-$  with  $q \rightarrow v$ . Since  $|U| \geq 4$ , it follows from Lemma 4.2 and Theorem 2.1 that either have  $|[u] \cap U| \geq 2$  or  $|[w] \cap U| \geq 2$  and  $w \in B$ .

In the case  $|[u] \cap U| \geq 2$ , let  $u' \in U \cap ([u] - \{u\})$ , let  $R_1 = \{u, v\}$ , and let  $R_2 = U - R_1$ . Since  $u \rightarrow q \rightarrow v$  and  $u \rightarrow z \rightarrow q$  we have  $z \in C(\{u, v\}) = C(R_1)$ . If  $B \neq \emptyset$ , we have  $w \in B$ . Then  $u' \rightarrow z \rightarrow w$ , and so  $z \in C(\{u', w\}) \subseteq C(R_2)$ . Similarly, if  $B = \emptyset$ , we have  $w \in A$ . Then there is a  $q' \in D_A^-$  with  $q' \rightarrow w$ . Then  $u' \rightarrow q' \rightarrow w$  and  $u' \rightarrow z \rightarrow q'$ , and so  $z \in C(\{u', w\}) \subseteq C(R_2)$ . Since  $z \in C(R_1) \cap C(R_2)$ ,  $R_1 \cup R_2$  is a Radon partition.

In the case  $|[w] \cap U| \geq 2$  and  $w \in B$ , let  $w' \in U \cap ([w] - \{w\})$ , let  $R_1 = \{u, w\}$ , and let  $R_2 = U - R_1$ . We have  $u \rightarrow z \rightarrow w$  and  $v \rightarrow z \rightarrow w'$ , and so again we have  $z \in C(R_1) \cap C(R_2)$ .

For the converse,  $H$ -independence implies  $R$ -independence, so we are left with the case  $|U| = 3$ . Let  $U = R_1 \cup R_2$  be a Radon partition. Without loss of generality,  $|R_1| = 1$ , and so  $C(R_1) = R_1$ . But then  $C(R_1) \cap C(R_2) \neq \emptyset$  implies  $R_1 \cap C(R_2) \neq \emptyset$ , and so  $U$  is convexly dependent. This completes the proof.  $\square$

We now consider bipartite tournaments. The following lemma follows similarly as Lemma 4.1 in [15].

**Lemma 5.3.** *Let  $T$  be a bipartite tournament and let  $U$  be a convexly independent set with  $|[U]| \geq 4$ .*

1. For each  $t \geq 0$ ,  $\Delta_t(U) = \bigcup_{x \in U} D_t(x)$ .

$$2. C(U) = \bigcup_{x \in U} D(x).$$

This gives us the following.

**Theorem 5.4.** *Let  $T$  be a bipartite tournament and let  $U$  be a convexly independent set of  $T$ .*

1. *If  $||U|| \neq 2$ , then  $U$  is  $H$ -independent.*
2. *If  $U$  has a nonempty intersection with two partite sets, then  $U$  is  $H$ -independent.*
3. *If there exists  $u \in U$  such that  $[u] \cap U = \{u\}$ , then  $U$  is  $H$ -independent.*
4. *If  $||U|| = 2$ ,  $U$  is contained in a single partite set, and every vertex of  $U$  has a clone in  $U$ , then  $U$  is  $H$ -dependent.*

*Proof.* We begin by proving (1). If  $||U|| = 1$ , then  $U$  is  $H$ -independent by Theorem 5.2(1). If  $||U|| = 3$ , Theorem 4.2 in [15] implies that  $[U]$  is  $H$ -independent in  $T_f$ , and so  $U$  is  $H$ -independent by Theorem 5.2(3). If  $||U|| \geq 4$ , we have, by Lemma 5.3(2), that  $C(U - \{x\}) \subseteq \bigcup_{y \in (U - \{x\})} D(y)$ , and so  $\bigcap_{x \in U} C(U - \{x\}) \subseteq \bigcap_{x \in U} (\bigcup_{y \neq x} D(y)) = \emptyset$  since the  $D(y)$ 's are pairwise disjoint by Corollary 4.8. Thus  $U$  is  $H$ -independent.

We now prove (2). By (1), we need only consider the case  $||U|| = 2$ , so let  $[U] = \{[u], [v]\}$  with  $u$  and  $v$  in distinct partite sets. Since  $T$  is bipartite, no vertex can distinguish  $u$  and  $v$ . But then Theorem 5.2(2b) implies  $U$  is  $H$ -independent.

Part (3) follows from Theorem 5.2(2a), and so it suffices to prove (4). Let  $U$  be a convexly independent set contained in a single partite set of  $T$  such that  $||U|| = 2$  and each vertex in  $U$  has a clone in  $U$ . This violates Theorem 5.2(2a) and (2b), and so  $U$  is  $H$ -dependent.  $\square$

The next result follows directly.

**Corollary 5.5.** *Let  $T$  be a bipartite tournament. Then  $h(T) \neq d(T)$  if and only if for every maximum convexly independent set  $U$  we have  $||U|| = 2$ ,  $U$  is contained in a single partite set, and every vertex in  $U$  has a clone in  $U$ . Otherwise, we have  $h(T) = r(T) = d(T)$ .*

As in [15], let  $V_U = \bigcup_{u \in U} D(u)$ . By Theorem 4.7, if  $||U|| \geq 4$  the  $V_U \subseteq P_0 \cup P_1$ . Let  $T_U$  denote the bipartite tournament induced by  $V_U$ . The following result shows what happens when partite sets other than  $P_0$  and  $P_1$  are taken into account.

**Theorem 5.6.** *Let  $T$  be a multipartite tournament and let  $U$  be a convexly independent subset of  $V$  with  $||U|| \geq 4$ . The following are equivalent.*

1.  *$U$  is  $H$ -independent*
2. *No vertex in  $V - (P_0 \cup P_1)$  distinguishes two vertices in  $U \cup D_{\vec{A}}$ .*

3. No vertex in  $V - (P_0 \cup P_1)$  distinguishes two vertices in  $V_U$ .
4.  $C(U) = V_U$ .
5. There exist  $u, v, w \in U$  with  $[u]$ ,  $[v]$  and  $[w]$  distinct such that  $\{u, v, w\}$  is  $H$ -independent.

*Proof.* Proving (1)  $\Rightarrow$  (2)  $\Rightarrow$  (3)  $\Rightarrow$  (4) follows as in Theorem 4.6 of [15], using Theorem 4.7. Theorem 5.5 and the fact that  $||U|| \geq 4$  imply that  $U$  is  $H$ -independent in  $T_U$ . If we assume  $C(U) = V_U$ , this implies that  $U$  is  $H$ -independent in  $T$ . This gives us both (4)  $\Rightarrow$  (1) and (4)  $\Rightarrow$  (5)

We now prove (5)  $\Rightarrow$  (2). Suppose that  $z \in V - (P_0 \cup P_1)$  distinguishes two vertices in  $U \cup D_A^-$ . Lemma 2.4(1) implies  $(A \cup D_B^-) \rightarrow z \rightarrow (B \cup D_A^-)$ . Let  $u, v, w \in U$  with  $[u]$ ,  $[v]$  and  $[w]$  distinct. It follows that  $z \in (u \vee v) \cap (u \vee w) \cap (v \vee w)$ , and so  $\{u, v, w\}$  is  $H$ -dependent, a contradiction. This proves the result.  $\square$

Now we consider  $R$ -independence. It need not be equivalent to convex independence, but it is almost always equivalent to  $H$ -independence.

**Theorem 5.7.** *Let  $T$  be a multipartite tournament and let  $U = A \cup B$  be  $R$ -independent. Then  $U$  is  $H$ -dependent if and only if  $||U|| = |U| = 3$  and there exists a vertex  $z$  in a partite set disjoint from  $U \cup D_A^-$  with  $A \rightarrow z \rightarrow (B \cup D_A^-)$ .*

*Proof.* Assume  $U$  is  $H$ -dependent. By Theorem 5.2(1) and (2), if  $||U|| \leq 2$ ,  $U$  is  $R$ -dependent, a contradiction. In the case  $||U|| \geq 4$ , Theorem 5.6(3) and Theorem 2.4(1) imply that there exists  $z \in V - (P_0 \cup P_1)$  such that  $(V_U \cap P_0) \rightarrow z \rightarrow (V_U \cap P_1)$ . Since  $|A| \geq |B|$ , we have  $||A|| \geq 2$ , so let  $u, v \in A$  be vertices that are not clones, and let  $M = \{u, v\}$ ,  $R = U - M$ . Since  $||U|| \geq 4$ ,  $||R|| \geq 2$ .

We show that  $M \cup R$  is a Radon partition by proving that  $z \in C(M) \cap C(R)$ . In the case  $B = \emptyset$ , then  $D_M^-, D_R^- \neq \emptyset$ . Let  $x \in D_M^-, y \in D_R^-$ . Clearly,  $x \in C(M)$  and  $y \in C(R)$ . We have  $M \rightarrow z \rightarrow x$  and  $R \rightarrow z \rightarrow y$ , and so  $z \in C(M) \cap C(R)$ . In the case  $||B|| = 1$ ,  $B \subseteq R$  and  $R \cap A \neq \emptyset$ . If  $w \in R \cap A$ ,  $b \in B$ , then  $w \rightarrow z \rightarrow b$  implies  $z \in C(R)$ . As before,  $z \in C(M)$ , and so  $z \in C(M) \cap C(R)$ . Finally, if  $||B|| \geq 2$ , then we get  $z \in C(M)$  using the fact  $A \rightarrow z \rightarrow D_A^-$ , and we get  $z \in C(R)$  using the fact that  $D_B^- \rightarrow z \rightarrow B$ . Again, this gives us  $z \in C(M) \cap C(R)$ . Thus,  $U$  is  $R$ -dependent, a contradiction.

Therefore, we have  $||U|| = 3$ . By Theorem 5.2(4) and the fact that  $U$  is  $H$ -dependent, we get  $|U| = 3$ . The rest follows as in Theorem 4.7 of [15].  $\square$

This gives a description of when the Helly number and Radon number are not equal.

**Corollary 5.8.** *Let  $T$  be a multipartite tournament. Then  $h(T) \neq r(T)$  if and only if  $h(T) = 2$  and  $r(T) = 3$ . Furthermore, in this case for every convexly independent set  $U = A \cup B$  of order 3 with  $||U|| = 3$ , there exists a  $z \in V(T)$  such that  $A \rightarrow z \rightarrow (B \cup D_A^-)$ .*

*Proof.* Let  $U$  be a maximum  $R$ -independent set and assume  $h(T) \neq r(T)$ . Then  $U$  is  $H$ -dependent so by Theorem 5.7,  $|U| = 3$ . Thus  $r(T) = 3$ . Since any pair of vertices is  $H$ -independent,  $h(T) \geq 2$ , and so  $h(T) = 2$ . The converse is trivial.

Since a convexly independent set with 3 elements is automatically  $R$ -independent the last part also follows from Theorem 5.7.  $\square$

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